

Twin problems in Approximation and Algebraic geometry.

Quintuplets: Linear algebra, PDEs, Commutative algebra



Approximation theory (AT)

A projector P on $\mathbb{C}[\mathbf{x}] := \mathbb{C}[x_1, \dots, x_d]$ is a linear idempotent operator $P : \mathbb{C}[\mathbf{x}] \rightarrow \mathbb{C}[\mathbf{x}]$

$$\text{ran } P \oplus \ker P = \mathbb{C}[\mathbf{x}]$$

Let $G = \text{ran } P \subset \mathbb{C}[\mathbf{x}]$ be N -dimensional and $\mathfrak{g} := \{g_1, \dots, g_N\}$ be a basis for G .

$\mathbb{C}'[\mathbf{x}] := \text{Hom}_{\mathbb{C}}(\mathbb{C}[\mathbf{x}], \mathbb{C})$ is the algebraic dual of $\mathbb{C}[\mathbf{x}]$.

Any projector P onto G : $P = \sum_{k=1}^N \lambda_k \otimes g_k$

$$Pf = \sum_{k=1}^N \lambda_k(f) g_k, \quad \lambda_k(f) = \lambda_k(Pf), \quad \forall f \in \mathbb{C}[\mathbf{x}]$$

$$\lambda_k \in \mathbb{C}'[\mathbf{x}], \quad \lambda_k(g_j) = \delta_{k,j}$$

$$\Lambda := \text{span } \{\lambda_k\} = \text{ran } P^* = (\ker P)^\perp \subset \mathbb{C}'[\mathbf{x}]$$

$$P = \sum_{k=1}^N \lambda_k \otimes g_k$$

$$\Lambda := \text{span} \{ \lambda_k \} = \text{ran } P^* = (\ker P)^\perp \subset \mathbb{C}'[\mathbf{x}]$$

Λ is correct for G if the interpolation problem : find

$$g \in G : \lambda(f) = \lambda(g), \forall \lambda \in \Lambda$$

has a unique solution for every $f \in \mathbb{C}[\mathbf{x}]$.

If Λ is correct for G , then Λ defines a projector P onto G by letting $Pf=g$: solution of interpolation problem for f .

1) Projectors P onto G

2) Subspaces $J \subset \mathbb{C}[\mathbf{x}]$ that complement G :

$$G \oplus J = \mathbb{C}[\mathbf{x}]$$

3) Subspaces $\Lambda \subset \mathbb{C}'[\mathbf{x}]$ that are correct for G

Five Examples: $G = \text{span} \{1, x, y\}$

$$P = \lambda_1 \otimes g_1 + \lambda_2 \otimes g_2 + \lambda_3 \otimes g_3$$

$$Tf = f(0)1 + (D_x f)(0)x + (D_y f)(0)y$$

$$P_*f = f(0)1 + (D_x f)(0)x + ((D_y + \frac{1}{2}D_x^2)f)(0)y$$

$$Rf = f(0)1 + (D_x f)(0)x + ((D_y + \frac{1}{2}D_y^2)f)(0)y$$

$$Lf = f(0)(1 - x - y) + f(1, 0)x + f(0, 1)y$$

$$Hf = f(0)(1 - y) + (D_x f)(0)(x - y) + f(1, 1)(y)$$

$$\Lambda_T = \{\delta_{\mathbf{0}}, \delta_{\mathbf{0}} \circ D_x, \delta_{\mathbf{0}} \circ D_y\}$$

$$\Lambda_{P_*} = \{\delta_{\mathbf{0}}, \delta_{\mathbf{0}} \circ D_x, \delta_{\mathbf{0}} \circ (\frac{1}{2}D_x^2 + 2D_y)\}$$

$$\Lambda_R = \{\delta_{\mathbf{0}}, \delta_{\mathbf{0}} \circ D_x, \delta_{\mathbf{0}} \circ (\frac{1}{2}D_y^2 + 2D_y)\}$$

$$\Lambda_L = \{\delta_{\mathbf{0}}, \delta_{(0,1)}, \delta_{(1,0)}\}$$

$$\Lambda_H = \{\delta_{\mathbf{0}}, \delta_{\mathbf{0}} \circ D_x, \delta_{(1,1)}\}$$

$$J_T = \{f : f(\mathbf{0}) = (D_x f)(0) = (D_y f)(0) = 0\}$$

$$J_{P_*} = \{f : f(\mathbf{0}) = (D_x f)(0) = (D_y + \frac{1}{2}D_x^2)f(0) = 0\}$$

$$J_R = \{f : f(\mathbf{0}) = (D_x f)(0) = (D_y + \frac{1}{2}D_y^2)f(0) = 0\}$$

$$J_L = \{f : f(\mathbf{0}) = f(1, 0) = f(0, 1) = 0\}$$

$$J_H = \{f : f(\mathbf{0}) = (D_x f)(0) = f(1, 1) = 0\}$$

Definition (G. Birkhoff) A projector is called ideal if $\ker P$ is an ideal in $\mathbb{C}[\mathbf{x}]$.

\mathfrak{P}_G is the family of all ideal projectors onto G :

Lagrange (interpolation) projectors and Taylor projectors are ideal projectors

Taylor projector: $T : \sum c_{\alpha} \mathbf{x}^{\alpha} \rightarrow \sum_{|\alpha| \leq n} c_{\alpha} \mathbf{x}^{\alpha}$
 $\ker T = \sum_{|\alpha| > n} c_{\alpha} \mathbf{x}^{\alpha}$

Lagrange (interpolating) projector at sites: $\mathbf{z}_1, \dots, \mathbf{z}_N \in \mathbb{C}^d$

$$(Pf)(\mathbf{z}_j) = f(\mathbf{z}_j), \quad \forall f \in \mathbb{C}[\mathbf{x}]$$

$$\ker P = \{f \in \mathbb{C}[\mathbf{x}] : f(\mathbf{z}_1) = \dots = f(\mathbf{z}_N) = 0\}$$

In one variable ($d=1$) ideal projectors are the Hermite interpolation projectors.

$$\Lambda := \text{span} \{ \lambda_k \} = \text{ran } P^* = (\ker P)^\perp \subset \mathbb{C}'[\mathbf{x}]$$

What Λ define an ideal projector onto G ?

Duality

$$\mathbb{C}_0^\infty \ni (a_\alpha)_{\alpha \in \mathbb{Z}_+^d} \sim f = \sum a_\alpha \mathbf{x}^\alpha \in \mathbb{C}[\mathbf{x}]$$

$$\mathbb{C}'[\mathbf{x}] \sim \mathbb{C}^\infty = \{ (c_\alpha)_{\alpha \in \mathbb{Z}_+^d} \}$$

$$(c_\alpha) \sim \hat{\lambda} \in \mathbb{C}'[\mathbf{x}]$$

$$\hat{\lambda}(f) := \sum \bar{c}_\alpha a_\alpha$$

$$\hat{\lambda}(f) := \sum w_\alpha \bar{c}_\alpha a_\alpha \qquad \hat{\lambda}(f) := \sum (\alpha!) \bar{c}_\alpha a_\alpha$$

Duality (DE)

$$\widehat{\lambda}(f) := \sum (\mathbf{a}!) \bar{c}_{\mathbf{a}} a_{\mathbf{a}}$$

$$\mathbb{C}'[\mathbf{x}] \sim \mathbb{C}[[\mathbf{x}]] := \left\{ \lambda = \sum c_{\mathbf{a}} \mathbf{x}^{\mathbf{a}} \right\}$$

Ring,
algebra,
module

$$\mathbb{C}'[\mathbf{x}] = \mathbb{C}[[\mathbf{x}]], \mathbb{C}[\mathbf{x}]$$

$$\mu_i : \mathbb{C}[\mathbf{x}] \rightarrow \mathbb{C}[\mathbf{x}], \mu_i(\lambda) = x_i \cdot \lambda$$

$$D_i : \mathbb{C}[[\mathbf{x}]] \rightarrow \mathbb{C}[[\mathbf{x}]]$$

$$\widehat{D_i \lambda}(f) = \widehat{\lambda}(x_i \cdot f)$$

$$(\mu_{x_i})^* = D_i$$

E. Fischer

F. Macauley

$$\mathbb{C}'[\mathbf{x}] \sim \mathbb{C}[[\mathbf{x}]] := \left\{ \lambda = \sum c_{\alpha} \mathbf{x}^{\alpha} \right\}$$

$$\hat{\lambda}(f) = \pi^{-d} \int \bar{\lambda}(\mathbf{x}) f(\mathbf{x}) e^{-|\mathbf{x}|^2} dV = \pi^{-d} \sum \int \bar{c}_{\alpha} \bar{\mathbf{x}}^{\alpha} f(\mathbf{x}) e^{-|\mathbf{x}|^2} dV$$

E. Fischer

Macauley

$$\hat{\lambda}(f) := (\lambda(D_1, \dots, D_d) f)(\mathbf{0})$$

$$\widehat{(x^3 + 3y^2 + 1)}(f) = (D_x^3 f + 3D_y^2 f + f)(\mathbf{0})$$

$$\delta_{\mathbf{z}}(f) := f(\mathbf{z}) = \widehat{(e^{\mathbf{z} \cdot \mathbf{x}})}(f)$$

$$\mathbb{C}'[\mathbf{x}] \sim \mathbb{C}[[\mathbf{x}]] := \left\{ \lambda = \sum c_{\alpha} \mathbf{x}^{\alpha} \right\}$$

$$\boxed{\widehat{D}_i \widehat{\lambda}(f) = \widehat{\lambda}(x_i \cdot f)} \quad \boxed{(\mu_{x_i})^* = D_i}$$

Theorem (Macaulay) $J \subset \mathbb{C}[\mathbf{x}]$ is an ideal iff

$$J^{\perp} := \{ \lambda \in \mathbb{C}[[\mathbf{x}]] : \widehat{\lambda}(f) = 0, \forall f \in J \}$$

is D -invariant, i.e.,

$$\lambda \in J^{\perp} \Rightarrow D_i \lambda \in J^{\perp}, i = 1, \dots, d$$

(DE): D -invariant subspaces are solutions of homogeneous systems of PDEs with constant coefficients

Theorem: $P = \sum_{k=1}^N \lambda_k \otimes g_k$ is an ideal projector iff $\Lambda = (\ker P)^{\perp}$ is D -invariant.

(AT) Ideal projectors onto G

(DE) D -invariant subspaces correct for $G : \mathfrak{D}_G$

5 Examples: $G = \text{span} \{1, x, y\}$

$$P = \lambda_1 \otimes g_1 + \lambda_2 \otimes g_2 + \lambda_3 \otimes g_3$$

$$Tf = f(0)1 + (D_x f)(0)x + (D_y f)(0)y$$

$$\Lambda_T = \text{sp} \{1, x, y\}$$

$$P_*f = f(0)1 + (D_x f)(0)x + ((D_y + \frac{1}{2}D_x^2)f)(0)y$$

$$\Lambda_{P_*} = \text{sp} \{1, x, y + \frac{1}{2}x^2\}$$

~~$$Rf = f(0)1 + (D_x f)(0)x + ((D_y + \frac{1}{2}D_y^2)f)(0)y$$~~

$$\Lambda_R = \text{sp} \{1, x, y + \frac{1}{2}y^2\}$$

$$Lf = f(0)(1 - x - y) + f(1, 0)x + f(0, 1)y$$

$$\Lambda_L = \text{sp} \{1, e^x, e^y\}$$

$$Hf = f(0)(1 - y) + (D_x f)(0)(x - y) + f(1, 1)(y)$$

$$\Lambda_H = \text{sp} \{1, x, e^{x+y}\}$$

Commutative algebra (CA)

studies ideals $J \subset \mathbb{C}[\mathbf{x}]$ and associated affine varieties:

$$\mathcal{Z}(J) := \{\mathbf{z} \in \mathbb{C}^d : f(\mathbf{z}) = 0, \forall f \in J\}$$

$$G \oplus \ker P = \mathbb{C}[\mathbf{x}] \quad J = \ker P \Leftrightarrow G \oplus J = \mathbb{C}[\mathbf{x}]$$

In the language of CA, G spans the finite-dimensional algebra $G \simeq A = \mathbb{C}[\mathbf{x}]/J$

The set of all ideals J with $G \simeq A = \mathbb{C}[\mathbf{x}]/J$ is $\tilde{\mathcal{J}}_G$
 $\mathcal{Z}(J)$ is the set of interpolation points (sites) for P .

$$\#\mathcal{Z}(J) \leq \dim G = \text{codim } J = \text{colength } J =: \dim \mathbb{C}[\mathbf{x}]/J$$

$J^\perp = \hat{A} = \text{Hom}_{\mathbb{C}}(A, \mathbb{C})$ dualizing module of A . The D-invariance gives it a structure of a module over A :

$$(a\lambda)(b) = \lambda(ab), \forall a, b \in A$$

(D. Hilbert): Every ideal $J \subset \mathbb{C}[\mathbf{x}]$ is finitely generated:

$$J = \langle h_1, \dots, h_n \rangle : f \in J \Leftrightarrow f = \sum f_j h_j$$

$$J \in \tilde{\mathfrak{J}}_G \Rightarrow J = \ker P = \text{ran}(I - P) = \{f - Pf : f \in \mathbb{C}[\mathbf{x}]\}$$

Find $f_j : h_j = f_j - Pf_j$ and you found P .

Is there a canonical way of determining nice generators?

$$P : \mathbb{C}[x] \rightarrow \mathbb{C}_{<n}[x] \Rightarrow \langle x^n - Px \rangle = \ker P$$

$\mathfrak{g} := \{g_1, \dots, g_N\}$ basis for G

Border of \mathfrak{g} :

$$\partial \mathfrak{g} := \{1, x_i g_k, i = 1, \dots, d, k = 1, \dots, \dim G\} \setminus G$$

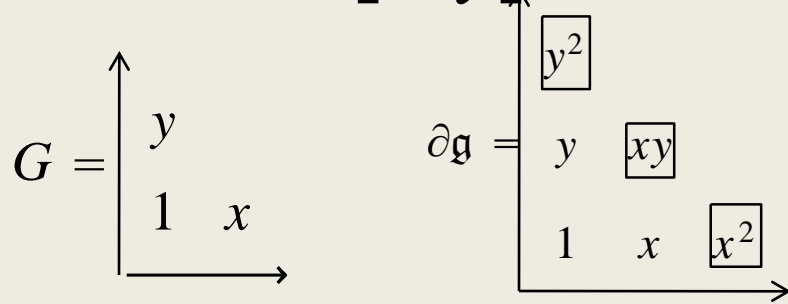
(AT) $\{Pf, f \in \partial \mathfrak{g}\}$ determines P

(CA) $\{f - Pf, f \in \partial \mathfrak{g}\}$ is an (ideal) basis for $J := \ker P$

Border basis:

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C. de Boer,
B.S

$G \subset \mathbb{C}[x, y]$ spanned by: $\mathfrak{g} = (1, x, y)$



$$Px^2 = a_0 + b_0x + c_0y,$$

$$Pxy = a_1 + b_1x + c_1y,$$

$$Py^2 = a_2 + b_2x + c_2y.$$

$$\ker P = \langle x^2 - Px^2, xy - Pxy, y^2 - Py^2 \rangle$$

(AT)

(DE)

$$Tf = f(0)1 + (D_x f)(0)x + (D_y f)(0)y$$

$$\Lambda_T = \text{sp}\{1, x, y\}$$

$$P_*f = f(0)1 + (D_x f)(0)x + ((D_y + \frac{1}{2}D_x^2)f)(0)y$$

$$\Lambda_{P_*} = \text{sp}\{1, x, \frac{1}{2}x^2 + y\}$$

$$Lf = f(0)(1 - x - y) + f(1, 0)x + f(0, 1)y$$

$$\Lambda_L = \text{sp}\{1 = e^0, e^x, e^y\}$$

$$Hf = f(0)(1 - y) + (D_x f)(0)(x - y) + f(1, 1)(y)$$

$$\Lambda_H = \text{sp}\{1, x, e^{x+y}\}$$

$$T : Tx^2 = T(xy) = Ty^2 = 0,$$

$$J_T = \langle x^2, xy, y^2 \rangle$$

$$P_* : P_*x^2 = y, P_*(xy) = P_*y^2 = 0,$$

$$J_{P_*} = \langle x^2 - y, xy, y^2 \rangle$$

$$L : Lx^2 = x, L(xy) = 0, Ly^2 = y,$$

$$J_L = \langle x^2 - x, xy, y^2 - y \rangle$$

$$H : Hx^2 = Hxy = Hy^2 = y$$

$$J_H = \langle x^2, xy, y^2 - y \rangle$$

(CA)

Linear Algebra (LA)

de
Boor

For an ideal projector P onto G define

(AT) $\mathbf{M}_P := (M_i : G \rightarrow G), M_i g := P(x_i g), g \in G$

(CA) $\mathbf{m}_P := (m_i : \mathbb{C}[x]/J \rightarrow \mathbb{C}[x]/J), m_i[f] := [x_i f]$

H. Stetter

Theorem: \mathbf{M} is a cyclic sequence of commuting operators: $M_i M_j = M_j M_i$ and

$$g(\mathbf{M})g_0 = g, \forall g \in G$$

$g_0 := P1$ is a cyclic vector for \mathbf{M} :

$$\{f(\mathbf{M})g_0, f \in \mathbb{C}[x]\} = G$$

$$Px^2 = a_0 + b_0x + c_0y,$$

$$Pxy = a_1 + b_1x + c_1y,$$

$$Py^2 = a_2 + b_2x + c_2y.$$

$$M_x := \begin{bmatrix} 0 & a_0 & a_1 \\ 1 & b_0 & b_1 \\ 0 & c_0 & c_1 \end{bmatrix}$$

$$M_x := \begin{bmatrix} M_x & 1 & x & y \\ 1 & & & \\ x & & & \\ y & & & \end{bmatrix}$$

$$M_y := \begin{bmatrix} 0 & a_1 & a_2 \\ 0 & b_1 & b_2 \\ 1 & c_1 & c_2 \end{bmatrix}$$

$$T : Tx^2 = T(xy) = Ty^2 = 0,$$

$$P_* : P_*x^2 = y, P_*(xy) = P_*y^2 = 0,$$

$$M_T = \left(\begin{bmatrix} 0 & 0 & 0 \\ 1 & 0 & 0 \\ 0 & 0 & 0 \end{bmatrix}, \begin{bmatrix} 0 & 0 & 0 \\ 0 & 0 & 0 \\ 1 & 0 & 0 \end{bmatrix} \right)$$

$$M_{P_*} = \left(\begin{bmatrix} 0 & 0 & 0 \\ 1 & 0 & 0 \\ 0 & 1 & 0 \end{bmatrix}, \begin{bmatrix} 0 & 0 & 0 \\ 0 & 0 & 0 \\ 1 & 0 & 0 \end{bmatrix} \right)$$

Converse is also true!

$$(AP), (CA) \rightarrow (LA) \quad P \rightsquigarrow \mathbf{M}_P = (M_1, \dots, M_d)$$

$$(LA) \rightarrow (AP), (CA) \quad \mathbf{L} := (L_1, \dots, L_d)$$

is a cyclic sequence of d commuting $N \times N$ matrices

and \mathbf{v} is a cyclic vector $\varphi : \mathbb{C}[\mathbf{x}] \rightarrow \mathbb{C}^N$
 $f \rightarrow f(\mathbf{L})\mathbf{v}$

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$$\ker \varphi =: J_{\mathbf{L}} = \{f \in \mathbb{C}[\mathbf{x}] : f(\mathbf{L})\mathbf{v} = 0\} = \{f \in \mathbb{C}[\mathbf{x}] : f(\mathbf{L}) = 0\}$$

is an ideal of colength (codimension) N . If $G \oplus J_{\mathbf{L}} = \mathbb{C}[\mathbf{x}]$
then

$$P_{\mathbf{L}} = (\varphi|_G)^{-1} \varphi$$

de Boor & B.S

is an ideal projectors onto G and

$$\mathbf{L} \sim \mathbf{M}_P, \quad \mathcal{Z}(J_{\mathbf{L}}) = \sigma(\mathbf{L})$$

4 Examples: $G = \text{span}\{1, x, y\}$

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$$Lf = f(0)(1 - x - y) + f(1, 0)x + f(0, 1)y$$

$$Hf = f(0)(1 - y) + (D_x f)(0)(x - y) + f(1, 1)y$$

$$J_T = \langle x^2, xy, y^2 \rangle$$

$$J_{P_*} = \langle x^2 - y, xy, y^2 \rangle$$

$$J_L = \langle x^2 - x, xy, y^2 - y \rangle$$

$$J_H = \langle x^2, xy, y^2 - y \rangle$$

$$\Lambda_T = \text{sp}\{1, x, y\}$$

$$\Lambda_{P_*} = \text{sp}\{1, x, \frac{1}{2}x^2 + y\}$$

$$\Lambda_L = \text{sp}\{1 = e^0, e^x, e^y\}$$

$$\Lambda_H = \text{sp}\{1, x, e^{x+y}\}$$

$$M_T = \left(\begin{bmatrix} 0 & 0 & 0 \\ 1 & 0 & 0 \\ 0 & 0 & 0 \end{bmatrix}, \begin{bmatrix} 0 & 0 & 0 \\ 0 & 0 & 0 \\ 1 & 0 & 0 \end{bmatrix} \right)$$

$$M_{P_*} = \left(\begin{bmatrix} 0 & 0 & 0 \\ 1 & 0 & 0 \\ 0 & 1 & 0 \end{bmatrix}, \begin{bmatrix} 0 & 0 & 0 \\ 0 & 0 & 0 \\ 1 & 0 & 0 \end{bmatrix} \right)$$

$$M_L = \left(\begin{bmatrix} 0 & 0 & 0 \\ 1 & 1 & 0 \\ 0 & 0 & 0 \end{bmatrix}, \begin{bmatrix} 0 & 0 & 0 \\ 0 & 0 & 0 \\ 1 & 0 & 1 \end{bmatrix} \right)$$

$$M_H = \left(\begin{bmatrix} 0 & 0 & 0 \\ 1 & 0 & 0 \\ 0 & 1 & 1 \end{bmatrix}, \begin{bmatrix} 0 & 0 & 0 \\ 0 & 0 & 0 \\ 1 & 1 & 1 \end{bmatrix} \right)$$

(LA) \rightarrow (DE) $\lambda \in \Lambda = \text{ran } P^*$

$$\begin{aligned}(M_i^* \lambda)(g) &= \lambda(M_i(g)) = \lambda(P(x_i g)) \\ &= (P^* \lambda)(x_i g) = \lambda(x_i g) = \lambda(\mu_i g)\end{aligned}$$

$$\boxed{(\mu_{x_i})^* = D_i} \quad \rightarrow \quad \boxed{M_i^* = D_i|_{\Lambda}}$$

$$P = \sum_{k=1}^N \lambda_k \otimes g_k$$

$$\lambda = \sum b_k \lambda_k \Rightarrow D_i \lambda = M_i^* \begin{pmatrix} b_1 & \dots & b_N \end{pmatrix} \begin{pmatrix} \lambda_1 \\ \vdots \\ \lambda_N \end{pmatrix}$$

The rules of differentiation on Λ define Λ !

Algebraic geometry (AG)

Can I define an ideal projector P by

$$Px^2 = a_0 + b_0x + c_0y,$$

$$Pxy = a_1 + b_1x + c_1y,$$

$$Py^2 = a_2 + b_2x + c_2y.$$

with any $(a_0, a_1, a_2, b_0, b_1, b_2, c_0, c_1, c_2) \in \mathbb{C}^9$?

Does the ideal

$$\langle x^2 - p_0, xy - p_1, y^2 - p_2 \rangle$$

complement G with any linear polynomials p_0, p_1, p_2 ?

In other words, for what $(g_b \in G, b \in \partial\mathfrak{g})$

$$J := \langle b - g_b \in G, b \in \partial\mathfrak{g} \rangle \in \tilde{\mathfrak{J}}_G?$$

$$Px^2 = a_0 + b_0x + c_0y,$$

$$Pxy = a_1 + b_1x + c_1y,$$

$$Py^2 = a_2 + b_2x + c_2y.$$

$$M_x := \begin{bmatrix} M_x & 1 & x & y \\ 1 & & & \\ x & & & \\ y & & & \end{bmatrix}$$

$$M_x := \begin{bmatrix} 0 & a_0 & a_1 \\ 1 & b_0 & b_1 \\ 0 & c_0 & c_1 \end{bmatrix}$$

$$M_y := \begin{bmatrix} 0 & a_1 & a_2 \\ 0 & b_1 & b_2 \\ 1 & c_1 & c_2 \end{bmatrix}$$

$$M_x M_y - M_y M_x = \begin{bmatrix} 0 & a_0 b_1 - a_1 b_0 + a_1 c_1 - a_2 c_0 & a_0 b_2 - a_1 b_1 + a_1 c_2 - a_2 c_1 \\ 0 & a_1 + b_1 c_1 - b_2 c_0 & -b_1^2 + c_2 b_1 + a_2 + b_0 b_2 - b_2 c_1 \\ 0 & c_1^2 - b_0 c_1 - a_0 + b_1 c_0 - c_0 c_2 & b_2 c_0 - b_1 c_1 - a_1 \end{bmatrix}$$

$$g(\mathbf{M})g_0 = g, \quad \forall g \in G \quad \checkmark$$

$$a_0 = -b_0 c_1 + c_1^2 + b_1 c_0 - c_0 c_2,$$

$$a_1 = b_2 c_0 - b_1 c_1,$$

$$a_2 = b_1^2 - c_2 b_1 - b_0 b_2 + b_2 c_1.$$

$$M_x M_y - M_y M_x = \begin{bmatrix} 0 & a_0 b_1 - a_1 b_0 + a_1 c_1 - a_2 c_0 & a_0 b_2 - a_1 b_1 + a_1 c_2 - a_2 c_1 \\ 0 & a_1 + b_1 c_1 - b_2 c_0 & -b_1^2 + c_2 b_1 + a_2 + b_0 b_2 - b_2 c_1 \\ 0 & c_1^2 - b_0 c_1 - a_0 + b_1 c_0 - c_0 c_2 & b_2 c_0 - b_1 c_1 - a_1 \end{bmatrix}$$

$$a_0 b_1 - a_1 b_0 + a_1 c_1 - a_2 c_0 = 0$$

$$a_1 + b_1 c_1 - b_2 c_0 = 0$$

$$c_1^2 - b_0 c_1 - a_0 + b_1 c_0 - c_0 c_2 = 0$$

$$a_0 b_2 - a_1 b_1 + a_1 c_2 - a_2 c_1 = 0$$

$$-b_1^2 + c_2 b_1 + a_2 + b_0 b_2 - b_2 c_1 = 0$$

$$b_2 c_0 - b_1 c_1 - a_1 = 0$$



$$\mathcal{B}_g := \{(a_0, a_1, a_2, b_0, b_1, b_2, c_0, c_1, c_2) \in \mathbb{C}^9 : \}$$

The border scheme

The border scheme

$$M_i g_k = \begin{cases} x_i g_k & \text{if } x_i g_k \in G \\ p_{x_i g_k} & \text{if } x_i g_k \notin G \end{cases}$$

The coefficients of polynomials $p_{x_i g_k}$ that satisfy a system of polynomial equations:

$$\begin{aligned} M_i M_j &= M_j M_i \\ g(\mathbf{M}) g_0 &= g, \quad \forall g \in G \end{aligned}$$

is called a border scheme.

A projector $P \in \mathfrak{P}_G$ (ideal, D-invariant space, cyclic sequence of commuting matrices) is identified with a point $P \in \mathcal{B}_g$

$$\mathcal{B}_g \text{ parametrizes } \mathfrak{P}_G (\mathfrak{J}_G, \Lambda_G)$$

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$$Lf = f(0)(1 - x - y) + f(1, 0)x + f(0, 1)y$$

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$$T : Tx^2 = T(xy) = Ty^2 = 0,$$

$$P_* : P_* x^2 = y, P_*(xy) = P_* y^2 = 0,$$

$$L : Lx^2 = x, L(xy) = 0, Ly^2 = y,$$

$$H : Hx^2 = Hxy = Hy^2 = y$$

$$T \sim (0, 0, 0, 0, 0, 0, 0, 0, 0, 0, 0) \in \mathfrak{P}_G$$

$$L \sim (0, 1, 0, 0, 0, 0, 0, 0, 1, 0, 0) \in \mathfrak{P}_G$$

$$P_* \sim (0, 0, 1, 0, 0, 0, 0, 0, 0, 0, 0) \in \mathfrak{P}_G$$

$$H \sim (0, 0, 1, 0, 0, 1, 0, 0, 1, 0, 0) \in \mathfrak{P}_G$$

$$\mathbf{L}_T = \left(\begin{bmatrix} 0 & 0 & 0 \\ 1 & 0 & 0 \\ 0 & 0 & 0 \end{bmatrix}, \begin{bmatrix} 0 & 0 & 0 \\ 0 & 0 & 0 \\ 1 & 0 & 0 \end{bmatrix} \right)$$

$$\mathbf{L}_{P_*} = \left(\begin{bmatrix} 0 & 0 & 0 \\ 1 & 0 & 0 \\ 0 & 1 & 0 \end{bmatrix}, \begin{bmatrix} 0 & 0 & 0 \\ 0 & 0 & 0 \\ 1 & 0 & 0 \end{bmatrix} \right)$$

$$\mathbf{L}_L = \left(\begin{bmatrix} 0 & 0 & 0 \\ 1 & 1 & 0 \\ 0 & 0 & 0 \end{bmatrix}, \begin{bmatrix} 0 & 0 & 0 \\ 0 & 0 & 0 \\ 1 & 0 & 1 \end{bmatrix} \right)$$

$$\mathbf{L}_H = \left(\begin{bmatrix} 0 & 0 & 0 \\ 1 & 0 & 0 \\ 0 & 1 & 1 \end{bmatrix}, \begin{bmatrix} 0 & 0 & 0 \\ 0 & 0 & 0 \\ 1 & 1 & 1 \end{bmatrix} \right)$$

Topology

\mathcal{B}_g parameterizes $\mathfrak{P}_G (\mathfrak{J}_G, \Lambda_G, \mathfrak{L}_G)$

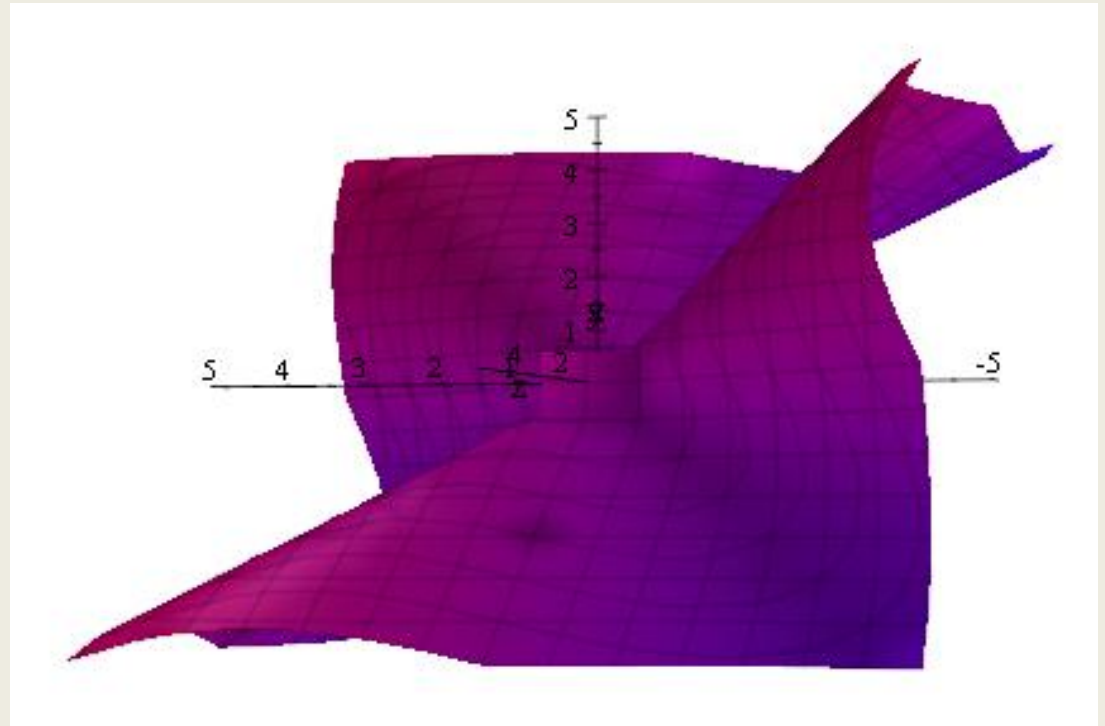
- (AT) $P(t) \rightarrow P \Leftrightarrow P(t)f \rightarrow Pf, \forall f \in \mathbb{C}[\mathbf{x}]$
- (DE) $\Lambda(t) \rightarrow \Lambda \Leftrightarrow \forall \lambda \in \Lambda \exists \lambda(t) \in \Lambda(t) : \lambda(t)f \rightarrow \lambda f$
- (LA) $\mathbf{L}(t) \rightarrow \mathbf{L}$
- (CA) $b - P(t)b \rightarrow b - P(t)b, \forall b \in \partial \mathfrak{g}$
- (AG) $P(t) \rightarrow P$ as points in $\mathcal{B}_g \subset \mathbb{C}^k$
- (AG) $P(t) \rightarrow P$ as points in \mathcal{B}_g in Zariski topology

de Boor,
B.S.

de Boor&B.S.

B.S.

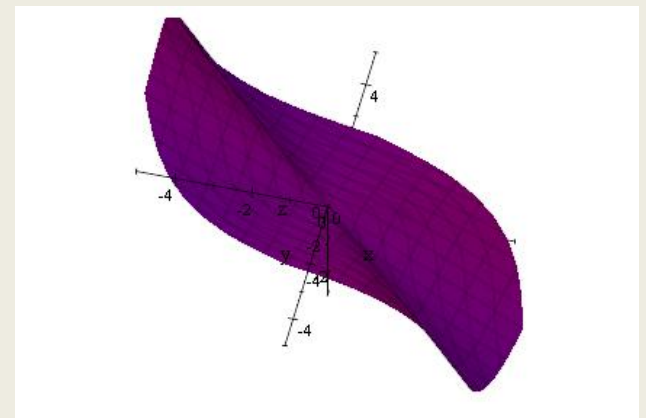
The border scheme



Theorem (J. Fogarty):

For any $G \subset \mathbb{C}[x, y]$ the border scheme is

- 1) Irreducible
- 2) Of dimension $2 \times N = dxN$
- 3) Smooth
- 4) Connected



Irreducibility

$J \in \tilde{\mathfrak{J}}_G$ is radical if

$$f^m \in J \Rightarrow f \in J \quad (\text{CA})$$

$$\#\mathcal{Z}(J) = \dim G, \mathcal{Z}(J) = \{\mathbf{z}_1, \dots, \mathbf{z}_N\}$$

P is Lagrange interpolating at $\mathcal{Z}(J)$ (AT)

$$\Lambda = \text{span}\{e^{\mathbf{z}_j \cdot \mathbf{x}}\} \quad \text{H. Stetter} \quad (\text{DE})$$

$\mathbf{L} = (L_1, \dots, L_d)$ are simultaneously diagonalizable (LA)

$$Lf = f(0)(1 - x - y) + f(1, 0)x + f(0, 1)y \quad \Lambda_L = \text{sp}\{1 = e^0, e^x, e^y\}$$

$$J_L = \langle x^2 - x, xy, y^2 - y \rangle$$

$$L \sim (0, 1, 0, 0, 0, 0, 0, 0, 1, \dots) \in \mathfrak{P}_G$$

$$\mathbf{L}_L = \left(\begin{bmatrix} 0 & 0 & 0 \\ 1 & 1 & 0 \\ 0 & 0 & 0 \end{bmatrix}, \begin{bmatrix} 0 & 0 & 0 \\ 0 & 0 & 0 \\ 1 & 0 & 1 \end{bmatrix} \right) \sim S \left(\begin{bmatrix} 0 & 0 & 0 \\ 0 & 1 & 0 \\ 0 & 0 & 0 \end{bmatrix}, \begin{bmatrix} 0 & 0 & 0 \\ 0 & 0 & 0 \\ 0 & 0 & 1 \end{bmatrix} \right) S^{-1}$$

Irreducibility

A variety is irreducible if it is not a union of two of its proper subvarieties.

$$\mathcal{L}_g := \{J \in \mathcal{B}_g : J \text{ is radical}\} \subset \mathcal{B}_g$$

has a rational parameterization.

\mathcal{L}_g^c is a subvariety, Zariski closed.



B.S

The closure of $\mathcal{L}_g : \mathcal{H}_g$ is irreducible, i.e., not a union of two proper subvarieties, of dimension $d \times N$.

$$\mathcal{B}_g = \mathcal{L}_g^c \cup \mathcal{H}_g$$

\mathcal{B}_g is irreducible $\iff \mathcal{B}_g = \mathcal{H}_g$

$P \in \mathcal{H}_g$ are called Hermite.

J is radical

P is Lagrange interpolating at $\mathcal{Z}(J)$

$$\Lambda = \text{span}\{e^{z_j \cdot x}\}$$

$\mathbf{L} = (L_1, \dots, L_d)$ are simultaneously diagonalizable

$$P \in \mathcal{L}_{\mathfrak{g}}$$

$$P(t) \rightarrow P \Leftrightarrow P(t)f \rightarrow Pf, \forall f \in \mathbb{C}[\mathbf{x}]$$

$$\Lambda(t) \rightarrow \Lambda \Leftrightarrow \forall \lambda \in \Lambda \exists \lambda(t) \in \Lambda(t) : \lambda(t)f \rightarrow \lambda f$$

$$b - P(t)b \rightarrow b - Pb, \forall b \in \partial \mathfrak{g}$$

$$\mathbf{M}_{P(t)} \rightarrow \mathbf{M}_P$$

$$P(t) \rightarrow P \text{ as points in } \mathcal{B}_{\mathfrak{g}} \subset \mathbb{C}^k$$

$$P(t) \rightarrow P \text{ as points in } \mathcal{B}_{\mathfrak{g}} \text{ in Zariski topology}$$

$$J \in \mathcal{H}_{\mathfrak{g}}$$

$P \in \mathcal{P}_G$ is a limit of Lagrange projectors

$\Lambda \in \mathcal{D}_G$ is a limit of pure exponentials

$\mathbf{L} \in \mathcal{L}_G$: approximable by diagonalizables

Irreducibility

A. Iarrobino,
 $\dim > d \times \dim G$

Theorem: \mathcal{B}_g is irreducible iff

(AG) $\mathcal{B}_g = \mathcal{H}_g$

B.S.

B.S.

(AP) Every $P \in \mathcal{P}_G$ is a limit of Lagrange projectors

(DE) Every $\Lambda \in \mathcal{D}_G$ is a limit of pure exponentials

de
Boor

(LA) Every $\mathbf{L} \in \mathcal{L}_G$: approximable by diagonalizables

De Boor & B.S.

and irreducible $\rightarrow \dim \mathcal{B}_g = d \times \dim G$

Fogarty \rightarrow True for $d=2$...

false for some G if $d > 2$.

(AP) answers a question of de Boor

(DE) answers a question of Lefranc

(LA) answers a question of Guralnick

$d=2$

Motzkin &

Tauski,

M. Gerstenhaber

R. Guralnick

De Boor & B.S.

Smoothness

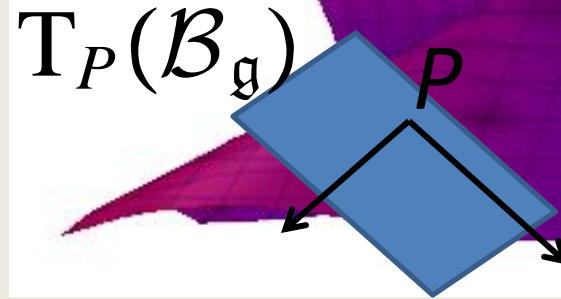
$$\dim(T_P(\mathcal{B}_g)) = \dim(\mathcal{B}_g)_P$$

$$\dim(T_J(\mathcal{B}_g)) = \dim \text{Hom}_{\mathbb{C}[\mathbf{x}]}(J, \mathbb{C}[\mathbf{x}]/J)$$

Miller&Sturmfels

$$S \in \text{Hom}_{\mathbb{C}[\mathbf{x}]}(J, \mathbb{C}[\mathbf{x}]/J) \Rightarrow$$

$$S(fh_1 + gh_2) = [f][Sh_1] + [g][Sh_2]$$



$$T : \mathbb{C}[x, y, z] \rightarrow \text{span} \{1, x, y, z\}$$

$$J = \{x^2, xy, y^2, yz, z^2, xz\}$$

$$\mathbb{C}[x, y, z]/J = \text{span} \{[1], [x], [y], [z]\}$$

$[u]=0$ for all monomials u of degree >1

$$Sx^2 = a_0[1] + b_0[x] + c_0[y] + d_0[z]$$

$$Sxy = a_1[1] + b_1[x] + c_1[y] + d_1[z]$$

$$S(fh_1 + gh_2) = [f][Sh_1] + [g][Sh_2]$$

$$S(yx^2) = [y](Sx^2) = [y](a_0[1] + b_0[x] + c_0[y] + d_0[z]) = a_0[y]$$

$$S(yx^2) = [x](Sxy) = [x](a_1[1] + b_1[x] + c_1[y] + d_1[z]) = a_1[x]$$

$a_0 = 0, a_1 = 0, \dots$ b -s, c -s, and d -s are arbitrary

$$\dim(T_J(\mathcal{B}_g)) = 6 \times 3 = 18 > 12 = d \times \dim G$$

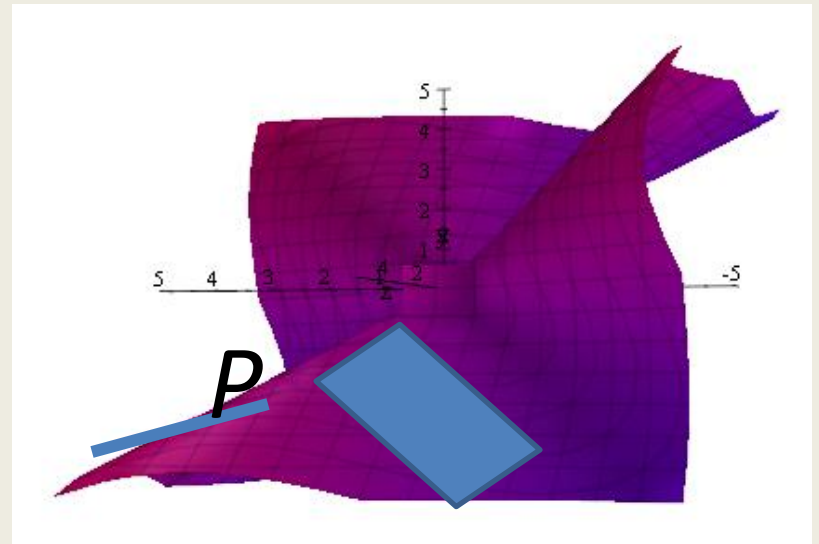
\mathcal{B}_g is not smooth for $d > 2!!$

Emsalem&Iarrobino

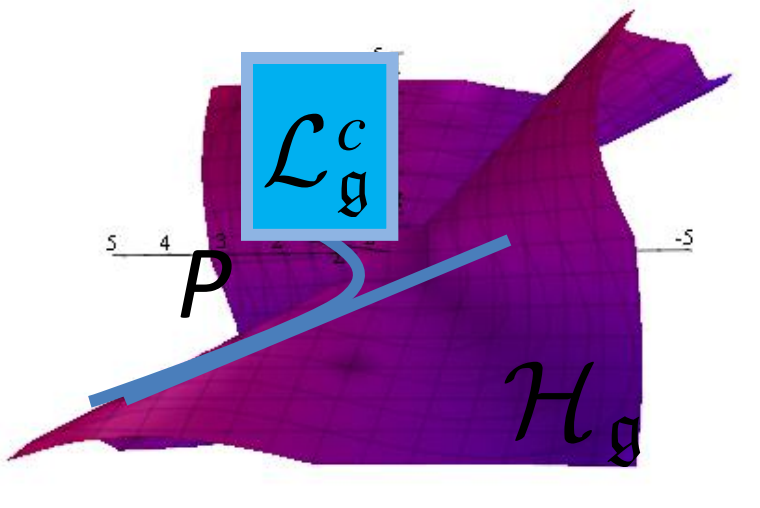
$$P : \mathbb{C}[x, y, z, w] \rightarrow \text{span} \{1, x, y, z, w, xz, yz, yw\}$$

$$Pxw = yz, Pu = 0, u \notin G \quad J = \langle xw - yz, u \notin G \rangle$$

	1	x	y	z	w	xz	yz	yw
x^2	0	$2a_2$	0	0	0	★	★	★
y^2	0	0	$2a_1$	0	0	★	★	★
z^2	0	0	0	$2a_3$	0	★	★	★
w^2	0	0	0	0	$2a_4$	★	★	★
xy	0	a_1	a_2	0	0	★	★	★
zw	0	0	0	a_4	a_3	★	★	★
$xw - yz$	0	a_4	a_3	a_1	a_2	★	★	★



$$\dim(T_J(\mathcal{B}_g)) = \dim \text{Hom}_{\mathbb{C}[\mathbf{x}]}(J, \mathbb{C}[\mathbf{x}]/J) = 25 < d \times \dim G = 32$$



$$Pxw = yz, Pu = 0, u \notin G$$

$$\mathcal{B}_g = \mathcal{L}_g^c \cup \mathcal{H}_g$$

$$P \in \mathcal{L}_g^c, P \notin \mathcal{H}_g$$

P is not Hermite!!

Cartwright, Dustin A., Daniel Erman, Mauricio Velasco,
Bianca Viray

\mathcal{B}_g consists of precisely two irreducible components

$$\Lambda = \mathcal{D}\{\lambda_1, \lambda_2, \lambda_3\} \quad (1, x, y, z, w)A_j(1, x, y, z, w)^t = \lambda_j$$

$$\det \begin{bmatrix} 0 & A_1 & -A_2 \\ -A_1 & 0 & A_3 \\ A_2 & -A_3 & 0 \end{bmatrix} \neq 0$$

$$d=3 \quad \mathbb{C}[x, y, z]$$

No known explicit examples of non-Hermite projectors.

No explicit examples of three commuting matrices that are not approximable by diagonalizables.

Existence of non-Hermite projectors known for $\dim G > 101$.

Every ideal projector onto G with $\dim G < 9$ is Hermite.

What is the least dimension of G that admits a non-Hermite projector? **Sturmfels**

There exist three 30×30 matrices that are not approximable by diagonalizables. **Guralnick**

No such 8×8 matrices. **K. Sivic**

What is the least size of such matrices? **Guralnick**

$$P : \mathbb{C}[x, y, z] \rightarrow \mathbb{C}[x, y, z]_{\leq 2}$$

False

$Px^3 = yz, Py^3 = xz, Pz^3 = xy, Pu = 0$ is not Hermite.

Problem in (AT) Finally

$$x = \lim\left(\frac{1}{u_1 - u_2} e^{u_1 x} - \frac{1}{u_1 - u_2} e^{u_2 x}\right), u_1, u_2 \rightarrow 0$$

$$\frac{1}{2}x^2 + y = \lim(a_1(t)e^{u_1(t)x+v_1(t)y} + a_2(t)e^{u_2(t)x+v_2(t)y} + a_3(t)e^{u_3(t)x+v_3(t)y})$$

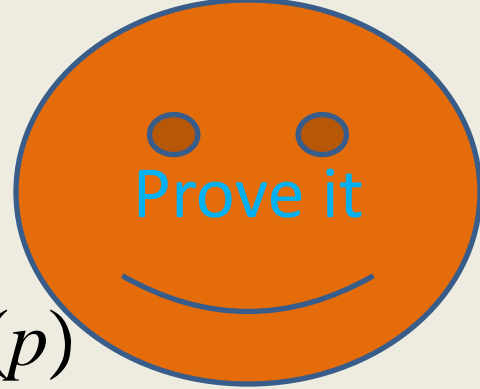
$$x^4 + y^4 + z^4 + xyz = \lim\left(\sum_{j=1}^{11} a_j(t)e^{u_j(t)x+v_j(t)y+w_j(t)z}\right)$$

$$= \lim(a_{11}(t) + \sum_{j=1}^{10} a_j(t)e^{u_j(t)x+v_j(t)y+w_j(t)z})$$

Theorem: Every polynomial

$$p(x, y, z) = \lim(\sum_{j=1}^N a_j(t) e^{u_j(t)x + v_j(t)y + w_j(t)z})$$

for some $u_j(t), v_j(t), w_j(t) \rightarrow 0, N = \dim \mathcal{D}(p)$



An ideal J is Gorenstein if $\Lambda = \mathcal{D}(p)$ iff \mathbf{L}^* is cyclic iff

$$\mathbf{L}^* \sim \mathbf{L}$$

$$P_* : P_* x^2 = y, P_*(xy) = P_* y^2 = 0$$

$$\Lambda_{P_*} = \text{span}\{1, x, \frac{1}{2}x^2 + y\}$$

$$p(x, y) = \frac{1}{2}x^2 + y$$

$$\mathbf{L}_{P_*} = \left(\left[\begin{array}{ccc} 0 & 0 & 0 \\ 1 & 0 & 0 \\ 0 & 1 & 0 \end{array} \right], \left[\begin{array}{ccc} 0 & 0 & 0 \\ 0 & 0 & 0 \\ 1 & 0 & 0 \end{array} \right] \right)$$

Theorem: in three variables $\mathcal{B}_g^{Gor} \subset \mathcal{B}_g$ is irreducible.

D. Buchsbaum & D. Eisenbud,
S. Diesel...

$$\begin{aligned} \frac{1}{4}(x^4 + y^4 + z^4) + xyz &= \lim(\sum_{j=1}^{11} a_j(t) e^{u_j(t)x + v_j(t)y + w_j(t)z}) \\ &= \lim(a_{11}(t) + \sum_{j=1}^{10} a_j(t) e^{u_j(t)x + v_j(t)y + w_j(t)z}) \end{aligned}$$

$$P : \mathbb{C}[x, y, z] \rightarrow \mathbb{C}[x, y, z]_{\leq 2}$$

Hermite

$$Px^3 = yz, Py^3 = xz, Pz^3 = xy, Pu = 0$$

$$\frac{1}{4}(x^4 + y^4 + z^4) + xyz = \lim(a_{11}(t) + \sum_{j=1}^{10} a_j(t)e^{u_j(t)x+v_j(t)y+w_j(t)z})$$

$$x^3 + yz = \lim(\sum_{j=1}^{10} (a_j(t)u_j(t))e^{u_j(t)x+v_j(t)y+w_j(t)z})$$

$$y^3 + xz = \lim(\sum_{j=1}^{10} (a_j(t)v_j(t))e^{u_j(t)x+v_j(t)y+w_j(t)z})$$

$$z^3 + xy = \lim(\sum_{j=1}^{10} (a_j(t)w_j(t))e^{u_j(t)x+v_j(t)y+w_j(t)z})$$

$$\Lambda = \lim \Lambda(t) \quad \leftarrow \text{Pure exponentials}$$

$$Px^3 = a_1xy + a_2xz + a_3yz + a_4x^2 + a_5y^2 + a_6z^2$$

$$Py^3 = b_1xy + b_2xz + b_3yz + b_4x^2 + b_5y^2 + b_6z^2$$

$$Pz^3 = c_1xy + c_2xz + c_3yz + c_4x^2 + c_5y^2 + c_6z^2$$

$$Pu = 0$$

Dustin
Cartwright

Hermite

Is \mathcal{B}_g connected?

L. Robbiano,
M. Kreuser,

$$P_0, P_1 \in \mathfrak{P}_G, \exists P(t) \in \mathfrak{P}_G : P(0) = P_0, P(1) = P_1$$

$$\mathbf{L}_0, \mathbf{L}_1 \in \mathfrak{L}_G, \exists \mathbf{L}(t) \in \mathfrak{L}_G : \mathbf{L}(0) = \mathbf{L}_0, \mathbf{L}(1) = \mathbf{L}_1$$

$$\Lambda_0, \Lambda_1 \in \Lambda_G, \exists \Lambda(t) \in \Lambda_G : \Lambda(0) = \Lambda_0, \Lambda(1) = P_1$$

If $\deg G \leq \deg \partial g$ then yes.

$$\mathbf{x}^\alpha \in \partial g, P\mathbf{x}^\alpha = \sum c(\boldsymbol{\beta})\mathbf{x}^\beta, c(\boldsymbol{\beta}) \in \mathcal{B}_g$$

$$P\left(\frac{1}{\varepsilon}\mathbf{x}\right)^\alpha = \sum c(\boldsymbol{\beta})\left(\frac{1}{\varepsilon}\mathbf{x}\right)^\beta, c(\boldsymbol{\beta})\left(\frac{1}{\varepsilon}\right)^\beta \in \mathcal{B}_g$$

$$P_\varepsilon\mathbf{x}^\alpha = \sum c(\boldsymbol{\beta})\varepsilon^{\alpha-\beta}\mathbf{x}^\beta$$

$$P_0\mathbf{x}^\alpha = \sum_{|\alpha|=|\beta|} c(\boldsymbol{\beta})\mathbf{x}^\beta \quad P_\delta\mathbf{x}^\alpha = \sum_{|\alpha|=|\beta|} c(\boldsymbol{\beta})\delta\mathbf{x}^\beta \quad T\mathbf{x}^\alpha = 0$$

Theorem: Hilbert scheme $\text{Hilb}_N(\mathbb{C}^d)$ parametrizing the family of all ideals of colength (codimension) N is connected.

Miller&Sturmfels

$$P_0, P_1 \in \mathfrak{P}_G, \exists P(t) : P(0) = P_0, P(1) = P_1, \dim \text{ran } P(t) = N$$

$$\mathbf{L}_0, \mathbf{L}_1 \in \mathfrak{L}_G, \exists \mathbf{L}(t) : \mathbf{L}(0) = \mathbf{L}_0, \mathbf{L}(1) = \mathbf{L}_1, \mathbf{L}(t) = N \times N$$

$$\Lambda_0, \Lambda_1 \in \Lambda_G, \exists \Lambda(t) : \Lambda(0) = \Lambda_0, \Lambda(1) = P_1, \dim \Lambda(t) = N$$

$$P(t) \notin \mathfrak{P}_G$$

Thank You

very much

indeed

Thank you

Thank You